

FATCA Questionnaire for Banks.

GENERAL INFORMATION.	
Full name of the bank	Subsidiary Bank Sberbank of Russia Joint Stock Company
Registered address	Kazakhstan, 050059, Almaty, Bostandyk district, Al-Farabi Ave., 13/1
Contact phone number and website address	Tel: +7 (727) 266 35 68, ext. 502269, 502267 Fax: +7 (727) 250 00 63 Tel: +7 (727) 250 00 60 E-mail: anastasiya.bespalova@sberbank.kz E-mail: ulpan.umbetalieva@sberbank.kz Web-site: www.sberbank.kz
Country of registration	Kazakhstan
Number and date of registration / license	State re- registration certificate 1840-1900 AO (IY) dated March 15, 2007 (initial - 01.07.1993), amendments and additions are introduced on 24.05.2019. License № 1.2.199/93/31 dated February 03, 2020.
Actual address	Kazakhstan, 050059, Almaty, Bostandyk district, Al-Farabi Ave., 13/1
Main banking operations	According to the License for conducting banking and other operations and activities in securities market issued by the Agency for Regulation and Development of Financial Market of the Republic of Kazakhstan
Key contact person	Name: Serik Alimov Title: Line Manager of Compliance Control Department Address: Kazakhstan, 050059, Almaty, Bostandyk district, Al-Farabi Ave., 13/1 Telephone: +7 (727) 266 35 68 ext. 501 343 e-mail: Serik.Alimov@sberbank.kz

INFORMATION ON OWNERSHIP STRUCTURE, MANAGEMENT, AND REGULATOR.

Name (full name) of shareholder (participant)	Percentage of share holding (%)	Country of incorporation
Sberbank PJSC	99,99775685%	Russian Federation
Greenwich Capital Management JSC	0,00026482%	Kazakhstan
Kazukrotrade LLP	0,00004673%	Kazakhstan

Board of Directors of SB Sberbank JSC

Full Name	Position	Country of incorporation
Kozintsev Timur	Chairman of the Board of Directors	Russian Federation
Avdeyenko Natalya	Member of the Board of Directors	Russian Federation
Andreev Alexey	Member of the Board of Directors	Russian Federation
Zherder Semyon	Member of the Board of Directors	Russian Federation
Madiyev Magzhan	Member of the Board of Directors, independent director	Republic of Kazakhstan

Barchugov Pavel	Member of the Board of Directors	Russian Federation
Tenizbayev Yeldar	Member of the Board of Directors	Republic of Kazakhstan
Akhanov Serik	Member of the Board of Directors, independent director	Republic of Kazakhstan
Yelemesov Askar	Member of the Board of Directors, independent director	Republic of Kazakhstan
Moshiashvili Mikhail	Member of the Board of Directors, independent director	Russian Federation

Management Board of SB Sberbank JSC

Full Name	Position	Country of incorporation
Tenizbayev Yeldar	Chairman of Management Board	Republic of Kazakhstan
Arakelyan Georgy	Deputy Chairman of Management Board	Russian Federation
Nakisbekov Yernar	Deputy Chairman of Management Board	Republic of Kazakhstan
Taskaranov Nursultan	Deputy Chairman of Management Board	Russian Federation
Titov Alexander	Deputy Chairman of Management Board	Russian Federation
Yamanov Denis	Deputy Chairman of Management Board	Russian Federation

Regulator: Agency for Regulation and Development of Financial Market of the Republic of Kazakhstan

Also, information about the ownership structure, management, and regulator can be found on the website of Kazakhstan Stock Exchange KASE: <https://kase.kz/ru/issuers/SBER/>

Legislation


Has your country established FATCA (Foreign Account Tax Compliance Act) laws? If yes, please list the name of the relevant laws of your country	<input checked="" type="checkbox"/> No <input type="checkbox"/> Yes,
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Has your country entered into an intergovernmental agreement with the USA on FATCA? If yes, please specify under what agreements / models?	<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes, Agreement between the governments of the Republic of Kazakhstan and the USA on improving international tax discipline (model 1 IGA)
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Policies and procedures

Are there bank internal policies and procedures on FATCA?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
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Registration information on www.irs.gov web-site

Are you assigned to the Global Intermediary Identification Number (GIIN)?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If YES, please specify your GIIN	JPCJ0H.00004.ME.398
If YES, specify your classification and type	Registered Deemed-Compliant Financial Institution (including a Reporting Financial Institution under a Model I IGA)
If NO, do you intend to get GIIN?	-
<p>I certify that the above information given on FATCA is true, accurate, and correct.</p> <p>NAME: Valentina Nagay TITLE: Director of International Relations and Trade Finance Department</p> <p>SIGNATURE: </p> <p>DATE: 06.05.2021</p>	



Glossary:

The following terms are used in this questionnaire:
"FATCA" – (Foreign Account Tax Compliance Act)
"GIIN" – (Global Intermediary Identification Number)
"IRS" – (Internal Revenue Service)
"PFFI" – (Participating Foreign Financial Institution)

